

Sponsor Name

Sponsor Address

Sponsor Address

Sponsor Phone #

Participant Name: _____

Course Name

Instructor:

Vendor:

Course Date:

Rating is based on a scale of 1-5

1 = Poor 2 = Fair 3 = Average 4 = Very Good 5 = Excellent

Prerequisites: None

Learning Objective: Understand the requirements for 401(k) audits as distinguished from audits of other types of employee benefit plans ~ Plan and conduct 401(k) audits, including those with voice-response recordkeeping systems, more efficiently and effectively ~ Recognize new developments affecting 401(k) audits.

Was the Learning Objective Met?	5	4	3	2	1	
Were the Prerequisite Requirements Appropriate?	5	4	3	2	1	N/A
Was the instructor's knowledge Appropriate?	5	4	3	2	1	
Was the instructor's presentation Skills appropriate?	5	4	3	2	1	
Was the instructor effective?	5	4	3	2	1	
Were the Program Materials Accurate?	5	4	3	2	1	
Were the Program Materials Relevant and did they contribute To the achievement of the learning Objectives?	5	4	3	2	1	
Was the advance handout or preparation material satisfactory?	5	4	3	2	1	N/A
Was the time allotted to the learning Activity appropriate?	5	4	3	2	1	
Was the facility appropriate? (table/chair set-up, lighting, etc.)	5	4	3	2	1	
Was the audio/video material appropriate?	5	4	3	2	1	N/A

Please return evaluation form to ensure proper CPE credit.